

# Résolution exacte de problèmes NP-difficiles

## Lecture 3: Iterative Compression & Randomized algorithms

21 January, 2021

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### 1 Iterative compression technique: $\mathcal{O}^*(5^k)$ -time algorithm for Feedback Vertex Set

The *iterative compression* technique solves a parameterized problem  $\mathsf{P}$  by *iteratively* solving a *compression* version of  $\mathsf{P}$ . This is where the name comes from. We study this technique with an exemplary algorithm for FEEDBACK VERTEX SET. Consider the following compression version of FEEDBACK VERTEX SET.

COMPRESSION FVS

**Instance:** a graph  $G = (V, E)$ , a feedback vertex set  $X \subseteq V$ .

**Parameter:**  $|X|$

**Question:** Does  $G$  have a feedback vertex set  $Y$  such that  $|Y| < |X|$ ?

Suppose that you're given a fvs  $X_i$  of size at most  $k$  for a graph  $G_i$ . If  $G_i$  expands to a slightly bigger graph  $G_{i+1}$ , where  $G_{i+1}$  contains precisely one more vertex  $v_{i+1}$  on top of  $G_i$ , then we know that  $X_i \cup \{v_{i+1}\}$  is again a fvs of  $G_{i+1}$ . But its size might exceed our allowed budget  $k$  (if not,  $X_i \cup \{v_{i+1}\}$  is a trivial solution to COMPRESSION FVS). Our goal is to look for an alternative fvs of  $G_{i+1}$  of size at most  $k$ , that is, a fvs whose size is strictly smaller than the given fvs. This extra information of a fvs  $X_i \cup \{v_{i+1}\}$  of small size at hand, albeit a bit exceeding our budget, makes the task of algorithm design way easier.

**Lemma 1.** *If there is an algorithm  $\mathcal{A}$  of COMPRESSION FVS running in time  $c^{|X|} \cdot n^d$ , then there is an algorithm for FEEDBACK VERTEX SET running in time  $c^k \cdot n^{d+1}$ .*

**Proof:** Let  $v_1, \dots, v_n$  be the vertices of  $G$ . For each  $1 \leq i \leq n$ , we define  $G_i = G[\{v_1, \dots, v_i\}]$ , that is,  $G_i$  is the subgraph of  $G$  induced by the first  $i$  vertices. Suppose that  $X_i$  is a fvs of  $G_i$  of size at most  $k$ . Such  $X_i$  exists for  $i$  up to  $k$ . For  $i + 1 \leq n$ , note that  $X_i \cup \{v_{i+1}\}$  is a fvs of  $G_{i+1}$  and  $(G_{i+1}, X_i \cup \{v_{i+1}\})$  is a legitimate instance to COMPRESSION FVS. Now we run the algorithm  $\mathcal{A}$  on  $(G_{i+1}, X_i \cup \{v_{i+1}\})$ . If  $\mathcal{A}$  returns a fvs  $X_{i+1}$  of  $G_{i+1}$  of size at most  $k$ , we can proceed to the next iteration for  $i + 2$  or declare it as a fvs of  $G$  in case  $i + 1 = n$ . On the other hand, if  $\mathcal{A}$  returns NO, then this means that not only  $G_{i+1}$  is a NO-instance but  $G_n$  is a NO-instance as well: indeed, if  $G_n$  has a feedback vertex set  $X_n$  of size at most

$k$ , then  $X_n \cap \{v_1, \dots, v_{i+1}\}$  is a feedback vertex set of  $G_{i+1}$  and its size is clearly at most  $k$ . Therefore, we can correctly return NO as an output of the algorithm. To see the running time, notice that the aforementioned algorithm executes  $\mathcal{A}$  at most  $n$  times.  $\square$

Thanks to Lemma 1, now we can focus on designing an efficient fpt-algorithm for COMPRESSION FVS<sup>1</sup>. We expect that designing an algorithm for COMPRESSION FVS would be easier than designing an algorithm for FEEDBACK VERTEX SET because the latter problem is at least as hard as the former. In fact, COMPRESSION FVS can be even further reduced to the following variant of FEEDBACK VERTEX SET.

DISJOINT FVS

**Instance:** a graph  $G = (V, E)$ , a feedback vertex set  $\tilde{X} \subseteq V$ , an integer  $k \geq 0$ .

**Question:** Does  $G$  have a feedback vertex set  $\tilde{Y}$  such that  $|\tilde{Y}| \leq k$  and  $\tilde{Y} \cap \tilde{X} = \emptyset$ ?

The basis of reducing<sup>2</sup> COMPRESSION FVS to DISJOINT FVS is to rewrite a feasible solution  $Y$  as a disjoint union of two sets  $I := Y \cap X$  and  $\tilde{Y} := Y \setminus X$ . Furthermore, if  $|Y| < |X|$  then  $|Y \setminus X| < |X \setminus Y|$ . So, in order to find a solution  $Y$  to COMPRESSION FVS, we can ‘guess’  $Y \cap X$  by enumerating all subsets  $I$  of  $X$ , remove the guessed part  $I$  from  $G$ , and then find a fvs  $\tilde{Y}$  of  $G - I$  such that  $\tilde{Y}$  is disjoint from  $X \setminus I$  and has strictly smaller size than  $X \setminus I$ .

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**Algorithm 1** Algorithm for DISJOINT FVS

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1: procedure dfvs( $G, \tilde{X}, k$ )
2:   Let  $F = G[V \setminus \tilde{X}]$  and  $\mathcal{C}$  be the set of connected components of  $G[\tilde{X}]$ .
3:   Delete all of degree at most 1. Bypass all degree-2 vertices of  $F$ : exhaustively.
4:   if  $G$  is acyclic then return  $\emptyset$ .
5:   else if  $G[\tilde{X}]$  contains a cycle then return NO.
6:   else if  $G$  contains a cycle and  $k = 0$  then return NO.
7:   end if
8:   Choose a leaf  $v$  of  $F$ .  $\triangleright k > 0$ 
9:   if  $v$  has two neighbors in a single components of  $\mathcal{C}$  then
10:    return dfvs( $G - v, \tilde{X}, k - 1$ )  $\cup \{v\}$ 
11:   else  $\triangleright v$  has two neighbors belonging to distinct components of  $\mathcal{C}$ 
12:    return dfvs( $G - v, \tilde{X}, k - 1$ ) or dfvs( $G, \tilde{X} \cup \{v\}, k$ ).
13:   end if
14: end procedure

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<sup>1</sup>Instead of using iterative compression, we can obtain an approximate feedback vertex set of size at most  $2k$  using a 2-approximation algorithm for FEEDBACK VERTEX SET and apply the algorithm for COMPRESSION FVS at most  $k$  times. That is, starting from  $X$ , we obtain a smaller solution if possible and feed it to the next instance of COMPRESSION FVS. The running time will be  $\mathcal{O}^*(c^{|X|} \cdot n^d \cdot k)$  in this case.

<sup>2</sup>Creating a connection between the two problems so that by solving instances of the latter, one can obtain a solution to the former.

**Lemma 2.** *The algorithm **dfvs**, given an instance  $(G, \tilde{X}, k)$ , solves DISJOINT FVS correctly in time  $\mathcal{O}^*(2^{\mu(I)})$ , where  $\mu(I) = k + |\text{cc}(G[\tilde{X}])|$ .*

**Proof:** We omit the correctness proof (which is rather straightforward, see the textbook [1] for details). To analyze the running time, we introduce a measure  $\mu(I)$  of an instance  $I = (G, \tilde{X}, k)$  to DISJOINT FVS.

$$\mu(G, \tilde{X}, k) = k + |\text{cc}(G[\tilde{X}])|.$$

In Line 12, each branching decreases the measure  $\mu$  by at least one. Indeed,  $\mu(G - v, \tilde{X}, k - 1) = k - 1 + |\text{cc}(G[\tilde{X}])| = \mu(I) - 1$ , and the measure decreases by one in the first branching. In the second branching, recall that  $v$  is adjacent with (at least) two distinct components of  $G[\tilde{X}]$  and thus by adding  $v$  to  $\tilde{X}$ , we decreases the number of connected components by at least one. That is,  $\mu(G, \tilde{X} \cup \{v\}, k) \leq \mu(I) - 1$ . From  $\mu(I) \geq 1$ , the depth (as the number of branching nodes where Line 12 is invoked) of a search tree algorithm is at most  $\mu(I)$  and the running time follows.  $\square$

**Lemma 3.** *There is an algorithm  $\mathcal{B}$  for COMPRESSION FVS running in time  $5^{|\tilde{X}|} \cdot n^d$ .*

**Proof:** Given an instance  $(G, X)$  to COMPRESSION FVS, we create an instance  $(G', \tilde{X}, k')$  to DISJOINT FVS for every  $I \subsetneq X$  as follows:

$$G' = G - I, \tilde{X} = X \setminus I \text{ and } k' = |\tilde{X}| - 1.$$

The algorithm  $\mathcal{B}$  on the input instance  $(G, X)$  is described below.

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**Algorithm 2** Algorithm for COMPRESSION FVS

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1: procedure  $\mathcal{B}(G, X)$ 
2:   for all  $I \subsetneq X$  do
3:     if  $\text{dfvs}(G', \tilde{X}, |\tilde{X}| - 1) \neq \text{No}$  then
4:       Let  $\tilde{Y} = \text{dfvs}(G', \tilde{X}, |\tilde{X}| - 1)$  and return  $\tilde{Y} \cup I$ 
5:     end if
6:   end for
7:   return No
8: end procedure

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We first observe that if an instance  $(G', \tilde{X}, |\tilde{X}| - 1)$  of DISJOINT FVS is a YES-instance at Line 3, then the output  $\tilde{Y} \cup I$  is indeed a solution to  $(G, X)$  for COMPRESSION FVS. Indeed,  $|\tilde{Y}| \leq |\tilde{X}| - 1$  implies that  $|\tilde{Y}| + |I| < |\tilde{X}| + |I| = |X|$ . Moreover,  $\tilde{Y} \cup I$  is a fvs of  $G$  because of  $G - (I \cup \tilde{Y}) = (G - I) - \tilde{Y} = G' - \tilde{Y}$ ;  $G' - \tilde{Y}$  is acyclic as  $\tilde{Y}$  is a fvs of  $G'$ .

Therefore, to see the correctness of the algorithm  $\mathcal{B}$  we only need to settle the claim:

if  $\mathcal{B}$  returns No, then  $(G, X)$  is a No-instance to COMPRESSION FVS.

If  $(G, X)$  is a YES-instance to COMPRESSION FVS, let  $Y$  be a fvs of  $G$  such that  $|Y| < |X|$ . Then for  $I := Y \cap X$ , the corresponding instance  $(G', \tilde{X}, k')$  defined as  $G' := G - I$ ,  $\tilde{X} := X \setminus I$  and  $k' := |\tilde{X}| - 1$ , the vertex set  $\tilde{Y} := Y \setminus I$  is a fvs of  $G'$ : indeed  $G' - \tilde{Y} = G - I - \tilde{Y} = G - Y$  is acyclic due to the assumption that  $Y$  is a fvs of  $G$ . Moreover,  $|\tilde{Y}| + |I| = |Y| < |X| = |\tilde{X}| + |I|$  implies that  $|\tilde{Y}| < |\tilde{X}|$ . Clearly,  $\tilde{Y}$  is disjoint from  $\tilde{X}$ . Therefore,  $\tilde{Y}$  is a solution to  $(G', \tilde{X}, |\tilde{X}| - 1)$  for DISJOINT FVS. In particular, there exists some  $I^*$  (not necessarily the same  $I$ ) such that the corresponding instance of DISJOINT FVS is YES, and therefore the condition of Line 3 is satisfied. Accordingly, the output of  $\mathcal{B}(G, X)$  is not NO. This proves the correctness of the algorithm  $\mathcal{B}$ .

Finally, we observe that for all  $I \subsetneq X$  of size  $i$ , an instance  $I$  to DISJOINT FVS with  $\mu(I) = |X| - i - 1 + |cc(G[\tilde{X}])| \leq 2(|X| - i) - 1$  is created. For each such  $I$ , the algorithm  $\mathbf{dfvs}$  runs in time  $\mathcal{O}^*(2^{\mu(I)})$ , thus in  $\mathcal{O}^*(4^{|X|-i})$  time. Therefore, the algorithm  $\mathcal{B}$  runs in time

$$\sum_{i=0}^{|X|-1} \binom{|X|}{i} \cdot 4^{|X|-i} \cdot n^d \leq (4+1)^{|X|} \cdot n^d.$$

□

## 2 Simple randomized algorithms

### 2.1 Feedback Vertex Set

We present a randomized algorithm for Feedback Vertex Set running in time  $\mathcal{O}^*(4^k)$ . We first apply reduction rules first.

**Reduction Rule 0:** If  $u$  has a loop in  $G$ , then delete  $u$  and decrease  $k$  by one.

**Reduction Rule 1:** If  $u$  has degree at most 1 in  $G$  (and does not have a loop), then delete  $u$ .

**Reduction Rule 2:** If  $u$  has degree 2 with neighbors  $v, w$  in  $G$  (possibly  $v = w$ ), by delete  $u$  and add an edge  $(v, w)$ .

Notice that application of Reduction Rule 2 may create parallel edges and loops - cycles of length two and one. Hence,  $G$  is a graph with parallel edges in the remainder of this subsection. The degree of a vertex is the number of incident edges, not the number of neighbors. Provided Reduction Rules 0-2 have been applied exhaustively, we can assume that  $G$  has minimum degree at least three.

The key observation behind the randomized  $\mathcal{O}^*(4^k)$ -algorithm is sparsity of a forest. Let  $S$  be a feedback vertex set of  $G$ . Then  $G - S$  have at most  $|V(G) \setminus S| - 1$  edges, which accounts for at most two among the minimum degree 3 of the vertices in  $V(G) \setminus S$ . Hence, more than  $|V(G)| - |S|$  edges are lying between  $S$  and  $V(G) \setminus S$ . This is formalized in the lemma below.

**Lemma 4.** *Let  $G$  be a graph with minimum degree three. Then for any feedback vertex set  $S$ , at least half of  $E$  are incident with  $S$ .*

**Proof:** We let  $F := V(G) \setminus S$ . Let us denote by  $E(S)$  the set of edges whose both endpoints are in  $S$  and by  $E(S, F)$  the set of edges which has precisely one endpoint in each of  $S$  and  $F$ . Let us count the sum  $\sum_{v \in F} \deg(v)$  in a different way. The only edges that contribute to this sum are  $E(S, F) \cup E(F)$ . Observe that an edge of  $E(S, F)$  counts precisely once in this sum, and an edge of  $E(F)$  is counted twice. Therefore, with the minimum degree condition on  $V$  it holds that

$$\sum_{v \in F} \deg(v) = |E(S, F)| + 2|E(F)| \geq 3|F|$$

It follows that

$$|E(S, F)| \geq 3|F| - 2|E(F)| \geq 3(|E(F)| + 1) - 2|E(F)| > |E(F)|,$$

where the second inequality is due to the fact that the number of edges in a tree  $T$  is at most the number of vertices of  $T$  minus one. Observe that the edge set incident with  $S$  is  $E(S) \cup E(F, S)$ . From

$$|E(S)| + |E(S, F)| = \frac{1}{2}(2|E(S)| + 2|E(S, F)|) > \frac{1}{2}(|E(S)| + |E(S, F)| + |E(F)|) = \frac{1}{2}|E|,$$

we know that at least half of the edge set  $E$  is incident with  $S$ . This complete the proof.  $\square$

Thanks to Lemma 4, a randomly chosen edge  $e$  is incident with a (prescribed) feedback vertex set  $S$  with probability at least  $\frac{1}{2}$ . By again randomly choosing one endpoint of  $e$ , we choose one of  $S$  with probability at least  $\frac{1}{4}$ .

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**Algorithm 3** Algorithm for FEEDBACK VERTEX SET

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1: procedure FVS( $G, k$ )
2:   Apply Reduction Rules 1-2 exhaustively.
3:   if  $k = 0$  and  $G$  has a cycle then return NO and terminate.
4:   else if  $k \geq 0$  and  $G$  is acyclic then return  $\emptyset$ .
5:   else if  $G$  has a loop at some vertex  $v$  then return  $FVS(G - v, k - 1) \cup \{v\}$ .
6:   else ▷  $G$  has a cycle without loops and  $k > 0$ 
7:     Pick an edge  $e$  uniformly at random.
8:     Pick an endpoint of  $e$  uniformly at random. Let  $v$  be the chosen vertex.
9:     return  $FVS(G - v, k - 1) \cup \{v\}$ .
10:  end if
11: end procedure

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**Lemma 5.** *On an input instance  $(G, k)$  to FEEDBACK VERTEX SET, the procedure FVS*

*(i) runs in polynomial time,*

*(ii) outputs either NO or a feedback vertex set of  $G$  of size at most  $k$ ,*

(iii) outputs a (feedback) vertex set of size at most  $k$  with probability at least  $\frac{1}{4^k}$  if  $(G, k)$  is YES.

**Proof:** The running time is straightforward. Before proceeding with the proof of (ii)-(iii), we point out that any input  $(G', k')$  to the procedure FVS for the subsequent calls incurred by  $\text{FVS}(G, k)$  is a legitimate instance of FEEDBACK VERTEX SET: that is,  $k' \geq 0$ . Indeed, we decrease the parameter  $k$  by one every time we make a call to FVS, and when  $k = 0$  an output is returned at Lines 3-4, which means no subsequent call is made.

We prove (ii) by induction on  $k$ . It suffices to prove that if  $\text{FVS}(G, k)$  returns a vertex set  $S$ , then  $S$  is a feedback vertex set of  $G$  of size at most  $k$ . When  $k = 0$ , the fact that some vertex set  $S$  is returned means that  $(G, k)$  does not satisfy the condition of Line 3 and thus  $G$  is acyclic. Now that  $(G, k)$  meets the condition of Line 4, we know that  $S = \emptyset$ . It is clear that  $S = \emptyset$  is a feedback vertex set of an acyclic graph  $G$  of size at most  $k = 0$ . Consider  $k > 0$  and notice that  $S$  is returned at either Line 5 or 9. Especially, this means that the output of  $\text{FVS}(G - v, k - 1)$  is  $S \setminus v$ . By induction hypothesis,  $S \setminus v$  is a feedback vertex set of  $G - v$  of size at most  $k - 1$ . Hence,  $G - v - S \setminus v$  is acyclic and thus  $S$  is a feedback vertex set of  $G$ . Clearly  $|S| \leq k$ . This proves (ii).

Now we prove (iii). Suppose that  $(G, k)$  is a YES-instance. If  $G$  is acyclic, then (iii) trivially holds with probability 1. In particular,  $G$  is always acyclic when  $k = 0$  due to the assumption that  $(G, k)$  is a YES-instance. Therefore, we may assume that  $G$  has a cycle and  $k > 0$ . We claim that the input  $(G - v, k - 1)$  to a subsequent call at Line 5 or 9 is YES with probability at least  $\frac{1}{4}$ . If  $G$  has a loop at  $v$ , then  $v$  must be included in any solution, and  $(G - v, k - 1)$  is again a YES-instance with probability 1. If  $G$  does not have a loop, then Line 8 chooses a vertex  $v$  contained in a solution  $S$  of size at most  $k$  with probability  $\frac{1}{4}$ . Indeed, Lemma 4 implies that the edge  $e$  chosen at Line 7 is in  $E(S) \cup E(S, V \setminus S)$  with probability 0.5. In case  $e \in E(S)$ , the probability that a random endpoint  $v$  of  $e$  is in  $S$  is 1. In case  $e \in E(S, V \setminus S)$ , the probability is 0.5. Therefore,

$$\begin{aligned} \Pr[v \in S] &= \Pr[e \in E(S) \cup E(S, V \setminus S)] \times \Pr[v \in S | e \in E(S) \cup E(S, V \setminus S)] \\ &\geq 0.5 \times 0.5 \end{aligned}$$

Notice that when  $v \in S$ , then  $S \setminus v$  is a feedback vertex set of size at most  $k - 1$  of  $G - v$ . That is,  $(G - v, k - 1)$  is a YES-instance. Therefore, the created instance  $(G - v, k - 1)$  at Line 9 is a YES-instance with probability at least  $\frac{1}{4}$ , as claimed.

To finalize the proof of (iii), we recall that by induction hypothesis,  $\text{FVS}(G - v, k - 1)$

returns a vertex set with probability at least  $\frac{1}{4^{k-1}}$  when  $(G - v, k - 1)$  is YES. Now<sup>3</sup>,

$$\begin{aligned}
& \Pr[\text{FVS}(G, k) \text{ returns a vertex set at Line 9}] \\
&= \Pr[(G - v, k - 1) \text{ is YES and FVS}(G - v, k - 1) \text{ returns a vertex set at Line 9}] \\
&\quad \geq \Pr[(G - v, k - 1) \text{ is YES}] \\
&\quad \times \Pr[\text{FVS}(G - v, k - 1) \text{ returns a vertex set at Line 9} | (G - v, k - 1) \text{ is YES}] \\
&\quad \geq \frac{1}{4} \times \frac{1}{4^{k-1}} = \frac{1}{4^k}.
\end{aligned}$$

This completes the proof.  $\square$

By repeating  $\text{FVS}(G, k)$   $4^k$  times, we obtain an algorithm summarized in the lemma below.

**Lemma 6.** *There is an algorithm running in time  $O(4^k \cdot \text{poly}(n))$  time which, given an input  $(G, k)$  to FEEDBACK VERTEX SET, outputs*

(i) NO if  $(G, k)$  is a NO-instance, and

(ii) outputs a solution of size at most  $k$  with probability  $1 - e^{-1}$  if one exists.

**Proof:** The algorithm  $\mathcal{A}$  works as follows: on the input instance  $(G, k)$ , we run the procedure  $\text{FVS}$   $4^k$  times. If a run of FEEDBACK VERTEX SET returns a vertex set  $S$ , then return  $S$  as an output of  $\mathcal{A}$ . If all  $4^k$  executions of  $\text{FVS}$  on  $(G, k)$  returns NO, then  $\mathcal{A}$  returns NO. Clearly the algorithm  $\mathcal{A}$  runs in the claimed running time because  $\text{FVS}$  runs in polynomial time and  $\mathcal{A}$  invokes  $\text{FVS}$  as a subroutine  $4^k$  times. That  $\mathcal{A}$  satisfies (i) follows immediately from Lemma 5. To see (ii), observe that the probability that  $\mathcal{A}$  returns NO when  $(G, k)$  is YES equals

$$\Pr[\text{FVS}(G, k) \text{ returns NO while } (G, k) \text{ is YES}]^{4^k} \leq \left(1 - \frac{1}{4^k}\right)^{4^k} \approx e^{-1} (\approx 0.36),$$

where the equality holds because each run of  $\text{FVS}$  is independent, and the second inequality holds due to Lemma 5. The property (ii) follows.  $\square$

## 2.2 $k$ -Path

We consider a parameterized version of the LONGEST PATH problem. The problem  $k$ -PATH is, given a graph  $G$  and an integer  $k$ , to find a simple path on  $k$  vertices, if one exists. This problem is NP-complete. We give a randomized FPT-algorithm for  $k$ -PATH. The underlying idea is to transform (in a randomized way) the given graph into a graph in which detecting a  $k$ -path becomes a simpler task. It is known that on a directed acyclic graph (DAG), finding a longest (directed) path can be solved in time  $O(|E|)$  using dynamic programming. So, we

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<sup>3</sup>In the inequality, all probabilities are conditional on that  $(G, k)$  is YES. We assumed this at the beginning of the proof of (iii).

shall transform  $G$  into a DAG  $\vec{G}$  so that a (directed)  $k$ -path in  $\vec{G}$  corresponds to a  $k$ -path in  $G$ . A  $k$ -path in  $G$  does not necessarily yield a  $k$ -path in  $\vec{G}$ . The hope is that if we perform the transformation sufficiently many times, but not too many times to stay within the running time bound of FPT-algorithm, we will hit on  $\vec{G}$  which contains a  $k$ -path corresponding to one in  $G$ .

Let  $\pi : V(G) \rightarrow [n]$  be a random permutation of  $V(G)$ . A DAG  $\vec{G}_\pi$  can be defined from  $\pi$ : it has  $V(G)$  as the vertex set, and

$$(u, v) \text{ is an arc of } \vec{G}_\pi \text{ if and only if } \pi(u) < \pi(v).$$

Notice that if  $G$  contains a  $k$ -path  $P$ , and  $\pi$  happens to order the vertices of  $P$  in an orderly manner (two possible ways), then  $P$  can be detected by a longest path algorithm on  $\vec{G}_\pi$ . If  $G$  does not contain a  $k$ -path, then no permutation  $\pi$  will allow  $\vec{G}_\pi$  to contain a  $k$ -path.

The probability that a random permutation  $\pi$  turns a  $k$ -path into a directed  $k$ -path in  $\vec{G}$  is  $\frac{2}{k!}$ . Therefore, the expected number of random permutations to hit a successful  $\vec{G}$  is  $\frac{k!}{2}$ . For each random permutation  $\pi$ , we test<sup>4</sup> whether  $\vec{G}_\pi$  contains a  $k$ -path in time  $O(|E|)$ . Therefore, the expected running time of to detect  $k$ -path in  $G$ , if  $G$  contains one, is  $O(k! \cdot |E|)$ .

### 3 A randomized algorithm for $k$ -Path based on color coding

We can improve the running time of  $k$ -PATH from  $O^*(k!)$  to  $2^{O(k)}$  time using color coding introduced by Alon, Yuster and Zwick. Color coding is a technique to transform a problem of detecting an object in a graph into a problem of colored object in a colored graphs, which is hopefully an easier task. In color coding for the problem  $k$ -PATH, we randomly color the vertices of  $G$  with  $k$  colors and the hope is that in the colored graph, a  $k$ -path becomes *colorful*. We say that a path in a colored graph is *colorful* if all vertices have distinct colors.

One pass of our color coding algorithm consists of two steps:

- A. Color the vertices of  $G$  with  $\{1, \dots, k\}$  uniformly at random. Let  $c : V(G) \rightarrow [k]$  be the coloring.
- B. Find a colorful  $k$ -path in  $G$ , if one exists. Otherwise, report that none was found.

**Step A.** The probability that step A. make a  $k$ -path  $P$  colorful is

$$\frac{\# \text{ of colorings in which } P \text{ becomes colorful}}{\# \text{ of all possible colorings}} = \frac{k!}{k^k} \approx \frac{1}{e^k}$$

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<sup>4</sup>The problem LONGEST PATH is in P on acyclic digraphs. First, we obtain a topological order of the vertex set of  $\vec{G}_\pi$ , then solve compute the length of a longest path to each vertex via dynamic programming over this ordering.



So, the expected number of runs of A. before a  $k$ -path  $P$  becomes colorful is  $e^k$ . Notice that any colorful  $k$ -path is also a  $k$ -path in  $G$ . Below, we provide an algorithm for B. running in time  $O(2^k \cdot |E|)$ .

**Step B: Detecting a colorful  $k$ -path.** Now we present an algorithm for detecting a colorful  $k$ -path given a vertex partition  $V_1, \dots, V_k$  of  $V(G)$ , where each  $V_i$  are the vertices colored in  $i$ . We aim to set the values of indicator variables  $P[C, u]$  for every color subset  $C \subseteq \{1, \dots, k\}$  and for every vertex  $u \in V(G)$ , so that

$$\begin{aligned} P[C, u] &= 1 \text{ if there is a colorful path exactly consisting of colors in } C \text{ and ending} \\ &\text{in } u. \\ P[C, u] &= 0 \text{ otherwise.} \end{aligned}$$

At each  $i$ -th iteration over  $i = 1, \dots, k$ , for all  $u \in V(G)$  we set the value of  $P[C, u]$  for  $C \subseteq [k]$  with  $|C| = i$  using dynamic programming. At  $i = 1$ ,  $P[C, u] = 1$  if and only if  $C = \{c(u)\}$ . At  $i + 1$ -th iteration, for each  $u \in V(G)$  and  $C \subseteq \{1, \dots, k\}$  of size  $i + 1$ , we compute  $P[C, u]$  as:

- $P[C, u] := 1$  if  $c(u) \in C$  and there is  $v \in N(u)$  such that  $P[C \setminus c(u), v] = 1$ .
- $P[C, u] := 0$  otherwise.

This recurrence computes  $P[C, u]$  correctly indeed: if there is a colorful  $i + 1$ -path  $Q$  using colors in  $C$  and ending at  $u$ , then for a neighbor  $v$  which is a neighbor of  $u$  in  $Q$ ,  $Q - u$  is a colorful  $i$ -path using colors in  $C \setminus \{c(u)\}$ . Conversely, if for some neighbor  $v$  of  $u$  there is a colorful  $i$ -path using colors in  $C \setminus \{c(u)\}$ , such a path can be extended to a colorful  $i + 1$ -path by adding  $u$ . The new path uses colors in  $C$  and ends at  $u$ . As the base case when  $i = 1$  trivially holds, the correctness of the above recurrence follows.

After finishing  $k$ -th iteration, there is a vertex  $u$  such that  $P[\{1, \dots, k\}, u] = 1$  if and only if there is a colorful  $k$ -path. This dynamic programming algorithm runs in time

$$O\left(\sum_{i=1}^k \binom{k}{i} \cdot |E|\right) = O(2^k \cdot |E|).$$

**Lemma 7.** *One can detect a colorful  $k$ -path in time  $O(2^k \cdot |E|)$ , if one exists.*

The following lemma summarizes the above analysis of Step A. and B.

**Lemma 8.** *One can detect a simple  $k$ -path in  $O((2e)^k \cdot |E|)$  expected running time, if one exists.*

**Lemma 9.** *One can detect a simple  $k$ -path with probability at least  $e^{-1}$  in time  $O((2e)^k \cdot |E|)$ , if one exists.*

**Proof:** The probability that a coloring fails to turn a  $k$ -path  $P$  colorful is at most  $1 - e^{-k}$ . Therefore, the probability that all  $e^k$  colorings (each, independent at random) reports no colorful  $k$ -path is at most

$$\left(1 - \frac{1}{e^k}\right)^{e^k} \approx e^{-1}.$$

Together with Lemma 7, the running time follows. □

## References

- [1] M. Cygan, F. V. Fomin, L. Kowalik, D. Lokshtanov, D. Marx, M. Pilipczuk, M. Pilipczuk, and S. Saurabh. *Parameterized Algorithms*. Springer, 2015.